

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 58127, 58131,
	:	58137, 58140, 58141, 58142, 58143,
	:	58144, 58145, 58146, 58147, 58148,
	:	58149, 58150, 58151, 58163, 58164,
	:	58165, 58166, 58167, 58168, 58169,
	:	58170
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 7, 2018, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated June 7, 2018, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Forrest Kuffer

Forrest Kuffer

Sworn to before me this

8<sup>th</sup> day of June, 2018

/s/ John Chau

Notary Public, State of New York

No. 01CH6353383

Qualified in Queens County

Commission Expires January 23, 2021

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
  
08-13555 (SCC)  
  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000127726331 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000164926



BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: HIGHBRIDGE INTERNATIONAL, LLC  
C/O BANK OF AMERICA MERRILL LYNCH; ATTN: GARY S COHEN/ANTE JAKIC  
BANK OF AMERICA TOWER - 3RD FLOOR  
ONE BRYANT PARK  
NEW YORK, NY 10036

Please note that your claim # 66460-01 in the above referenced case and in the amount of \$34,798,385.00 allowed at \$22,500,000.00 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC.  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD, SUITE 2950  
LOS ANGELES, CA 90067

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 58165 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/07/2018 Vito Genna, Clerk of Court

/s/ Marc Orfitelli  
\_\_\_\_\_  
EPIQ BANKRUPTCY SOLUTIONS, LLC  
as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: ULTRA MASTER LTD, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLA LTD., C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 3 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 3 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: HIGHBRIDGE INTERNATIONAL, LLC, C/O BANK OF AMERICA MERRILL LYNCH; ATTN: GARY S COHEN/ANTE JAKIC, BANK OF AMERICA TOWER - 3RD FLOOR, ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: STATE STREET BANK AND TRUST COMPANY,, C/O BANK OF AMERICA MERRILL LYNCH, ATTN: ANTE JAKIC/GARY S. COHEN, BANK OF AMERICA TOWER - 3RD FLOOR, ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: ULTRA MASTER LTD, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 3 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
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BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS RECOVERY FUND LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
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Claim Name	Address Information
BKM HOLDINGS (CAYMAN) LTD.	520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: TRC MASTER FUND LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	ATTN: ELANIT A. SNOW, C/O PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: TRC MASTER FUND LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
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CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: KING STREET ACQUISITION COMPANY, LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: TRC MASTER FUND LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	ELANIT A. SNOW, C/P PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: KING STREET ACQUISITION COMPANY, LLC, ATTN:KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: SILVER POINT CAPITAL FUND, LP, C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICH Aidith; 60 WALL ST., NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: SILVER POINT CAPITAL FUND, LP, C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICH Aidith; 60 WALL ST., NEW YORK, NY 10005

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SERVICE LIST  
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Claim Name	Address Information
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
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EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
MERRILL LYNCH CREDIT PRODUCTS, LLC	TRANSFEROR: SHORT CREDIT MASTER FUND L.P., C/O BANK OF AMERICA MERRILL LYNCH, ATTN: GARY COHEN, RONALD TOROK, BANK OF AMERICA TOWER, 3RD FLOOR, ONE BRYANT PARK, NEW YORK, NY 10036
MERRILL LYNCH CREDIT PRODUCTS, LLC	CADWALADER, WICKERSHAM & TAFT LLP, ATTN: WENDY KANE, ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
SOUTHEY CAPITAL LTD	TRANSFEROR: BANQUE CRAMER & CIE SA, ATTN: ROBERT SOUTHEY, SUITE 17, ENSIGN HOUSE, LONDON E14 9XQ UNITED KINGDOM
VONWIN CAPITAL MANAGEMENT, LP	TRANSFEROR: SOUTHEY CAPITAL LTD, ATTN: CHARMAINE WILSON, 261 FIFTH AVENUE, 22ND FLOOR, NEW YORK, NY 10016

**Total Creditor Count 257**